

The background features a dark blue gradient with several overlapping circular patterns. On the left side, there is a large circular scale with numerical markings from 40 to 260 in increments of 10. The scale is partially obscured by other circular elements, including solid and dashed lines with arrows, suggesting a technical or scientific theme.

# Spectral Mesh Processing

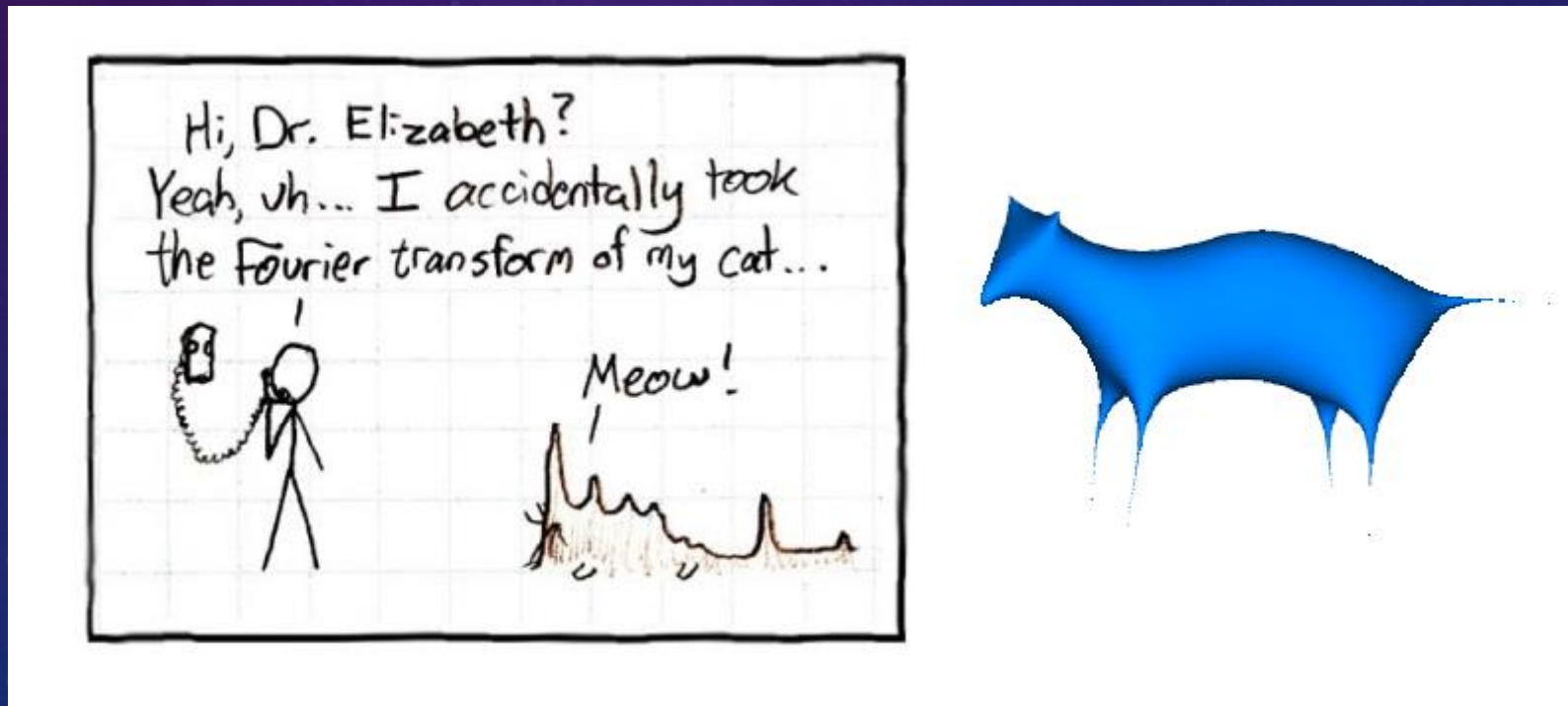
USTC, 2024 Spring

Qing Fang, [fq1208@mail.ustc.edu.cn](mailto:fq1208@mail.ustc.edu.cn)

<https://qingfang1208.github.io/>

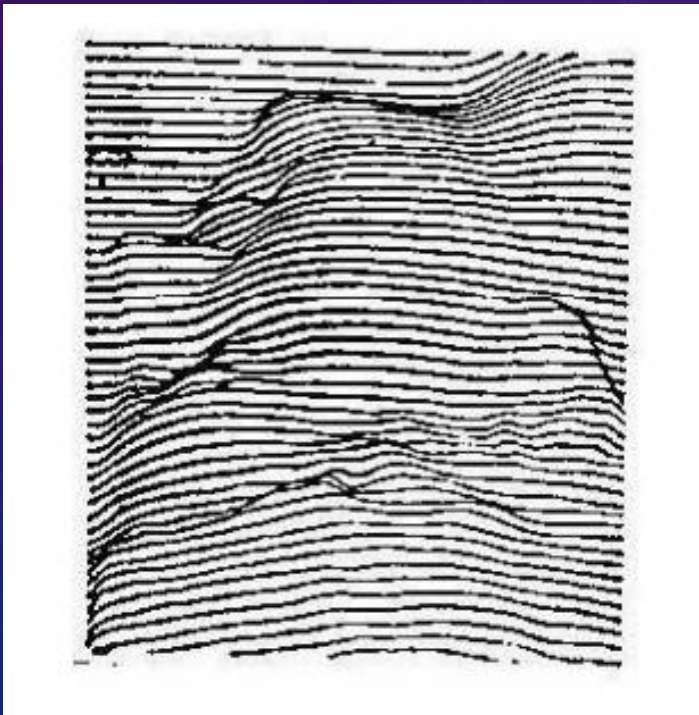
# Why spectral?

- A different way to look at functions on a domain



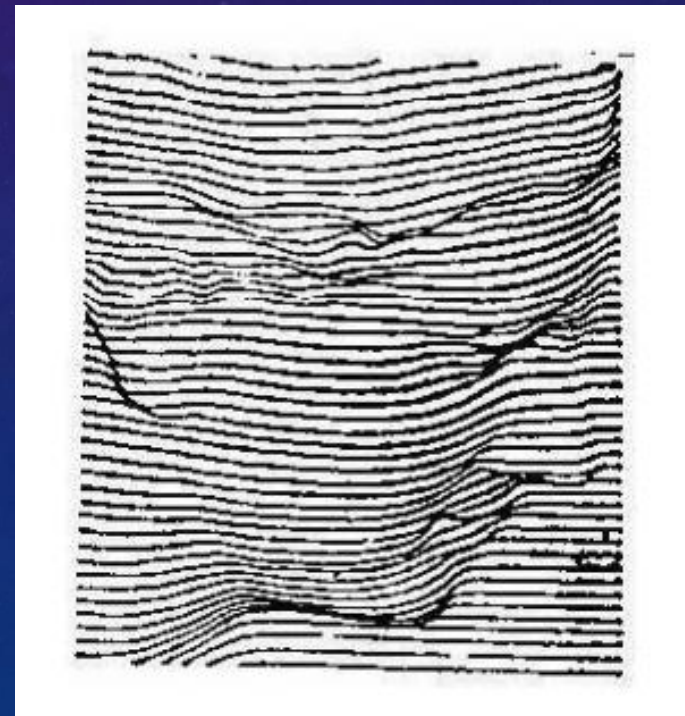
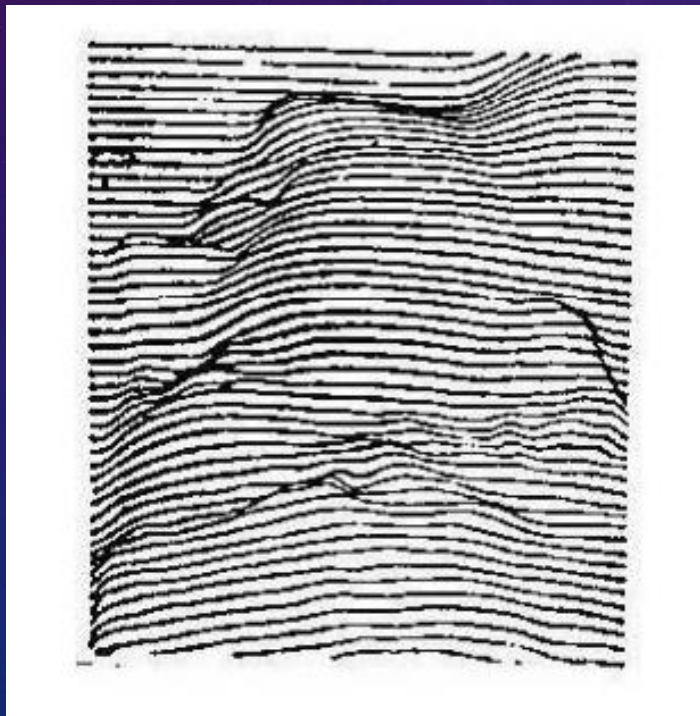
# Why spectral?

- Better representations lead to simpler solutions



# Why spectral?

- Better representations lead to simpler solutions



# Different view or function space

- The same problem, phenomenon or data set, when viewed from a different angle, or in a new function space, may better reveal its underlying structure to facilitate the solution.



# Different view or function space

- The same problem, phenomenon or data set, when viewed from a different angle, or in a new function space, may better reveal its underlying structure to facilitate the solution.
- Solving problems in a different function space using a transform - **spectral transform**

# Spectral mesh processing

- Use eigen-structure of “well behaved” linear operators for geometry processing
  - Eigenvectors and eigenvalues  $Au = \lambda u, u \neq 0$
  - Diagonalization or eigen-decomposition  $A = U\Lambda U^T$
  - Projection into eigen-subspace  $y' = U(k)U(k)^T y$
  - DFT-like spectral transform  $\hat{y} = U^T y$

# Eigen-decomposition

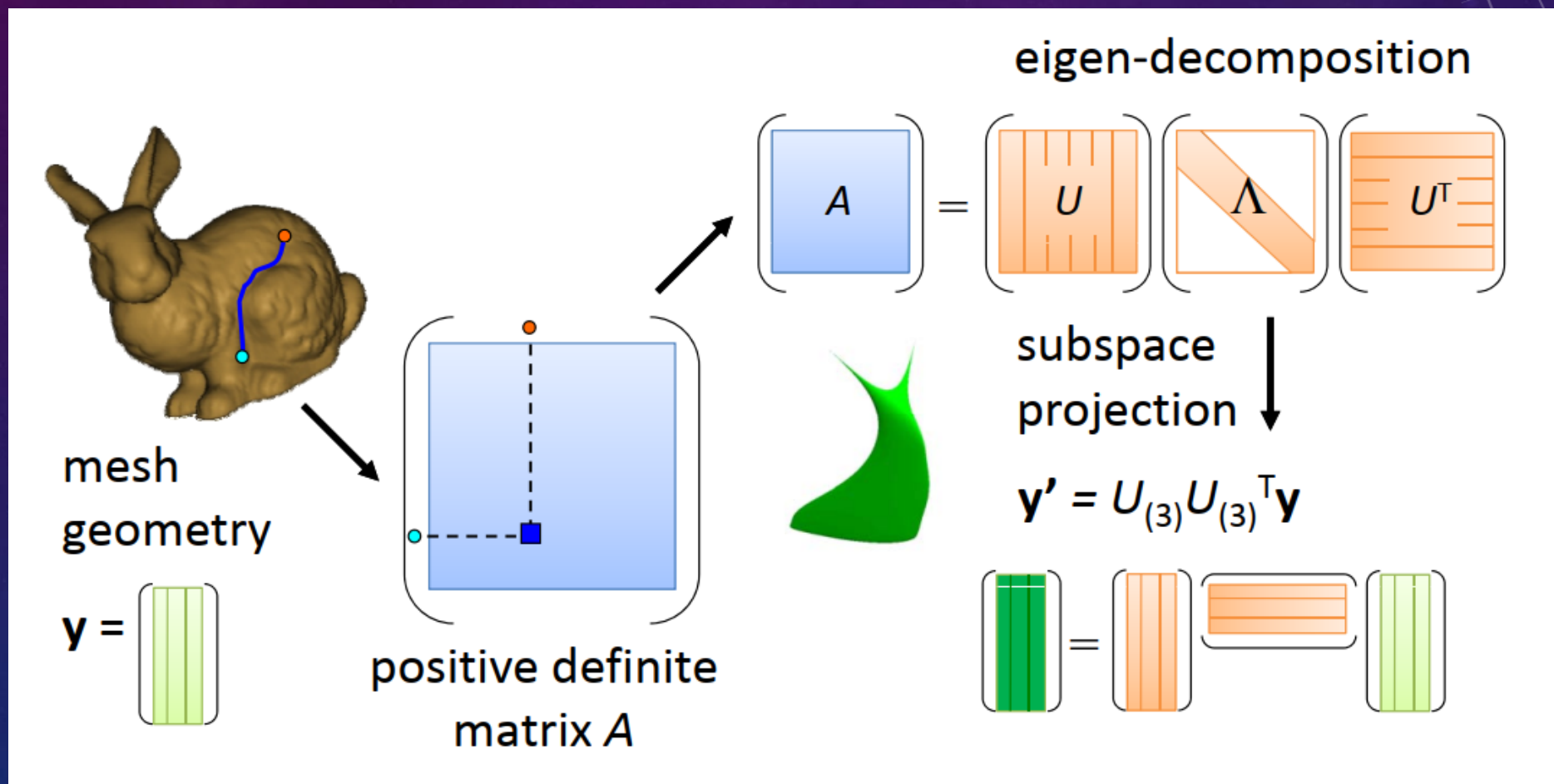
- Best - symmetric positive definite operator  $x^T Ax > 0, \forall x$
- Can live with:
  - semi-positive definite ( $x^T Ax \geq 0, \forall x$ )
  - non symmetric, as long as eigenvalues are real and positive e.g.

$$L = DW, \text{ where } W \text{ is SPD and } D \text{ is diagonal}$$

- Beware of : non-square operators, complex eigenvalues, negative eigenvalues



# Eigen-structure



# Reconstruction and compression

- Reconstruction using  $k$  leading coefficients

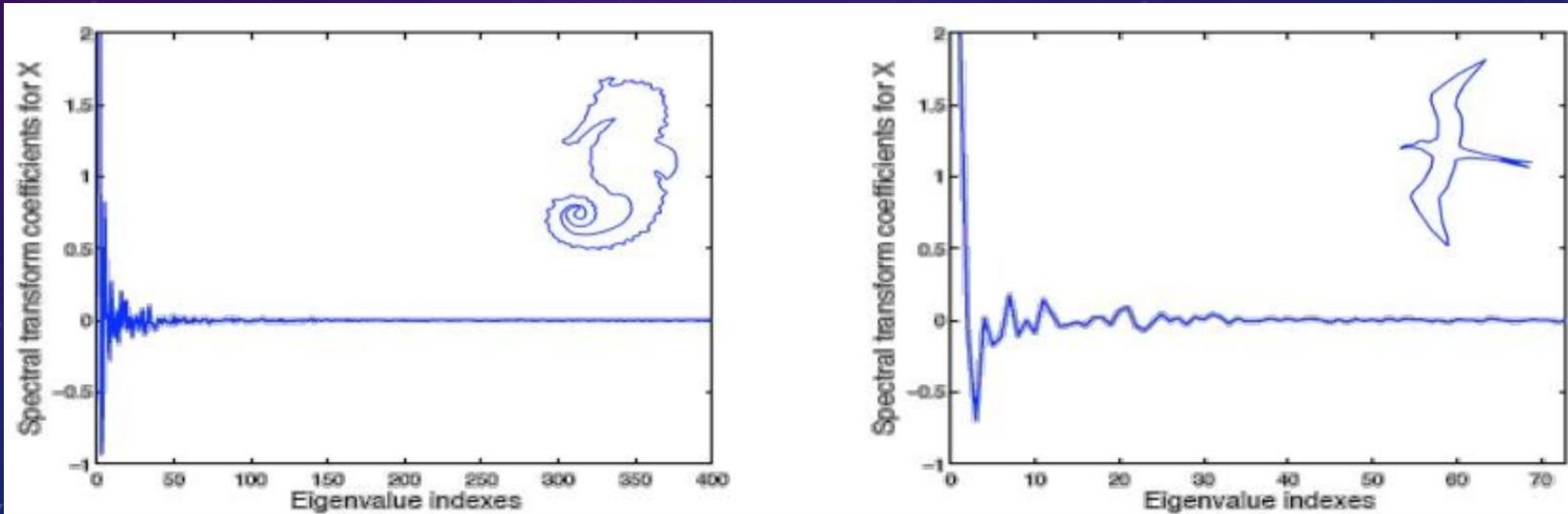
$$y^{(k)} = \sum_{i=1}^k \hat{y}_i e_i$$

- A form of spectral compression with info loss given by

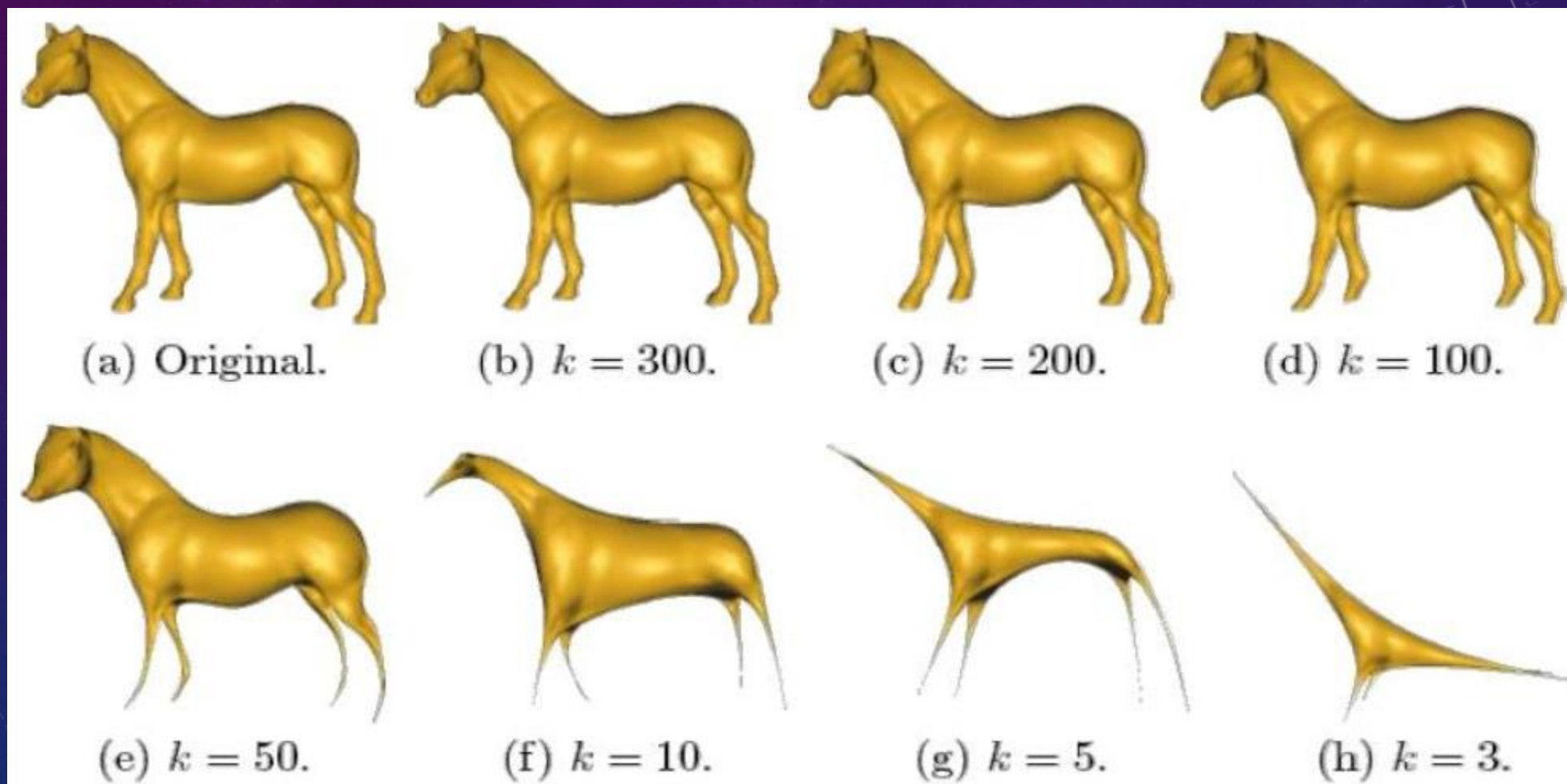
$$\|y - y^{(k)}\|^2 = \left\| \sum_{i=k+1}^n \hat{y}_i e_i \right\|^2 = \sum_{i=k+1}^n \hat{y}_i^2$$

# Plot of transform coefficients

- Fairly fast decay as eigenvalue increases



# Smoothing or compression



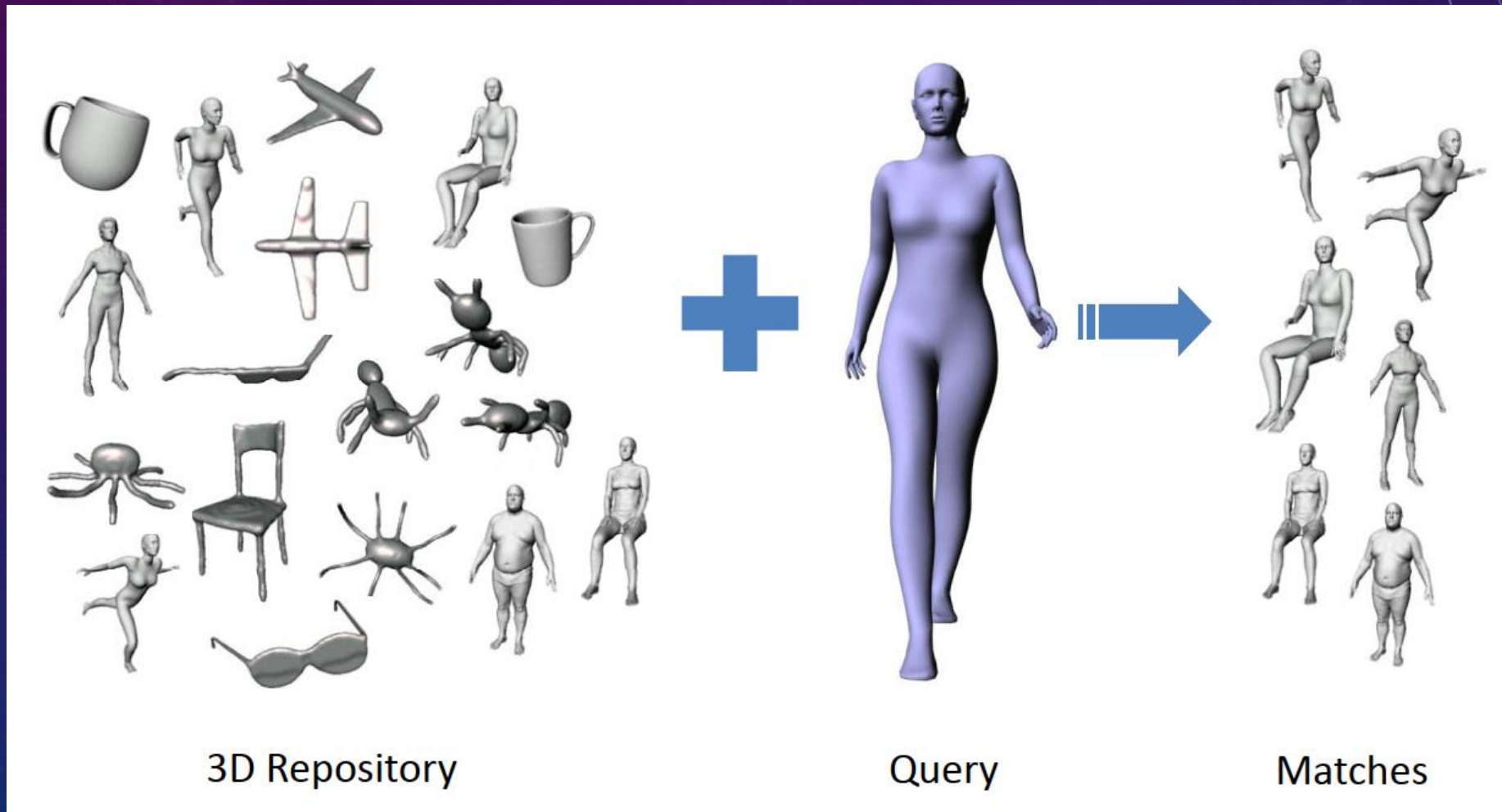
# Spectral : intrinsic view

- Spectral approach takes the intrinsic view
  - Intrinsic mesh information captured via a linear mesh operator
  - Eigen-structures of the operator present the intrinsic geometric information in an organized manner
  - Rarely need all eigen-structures, dominant ones often suffice

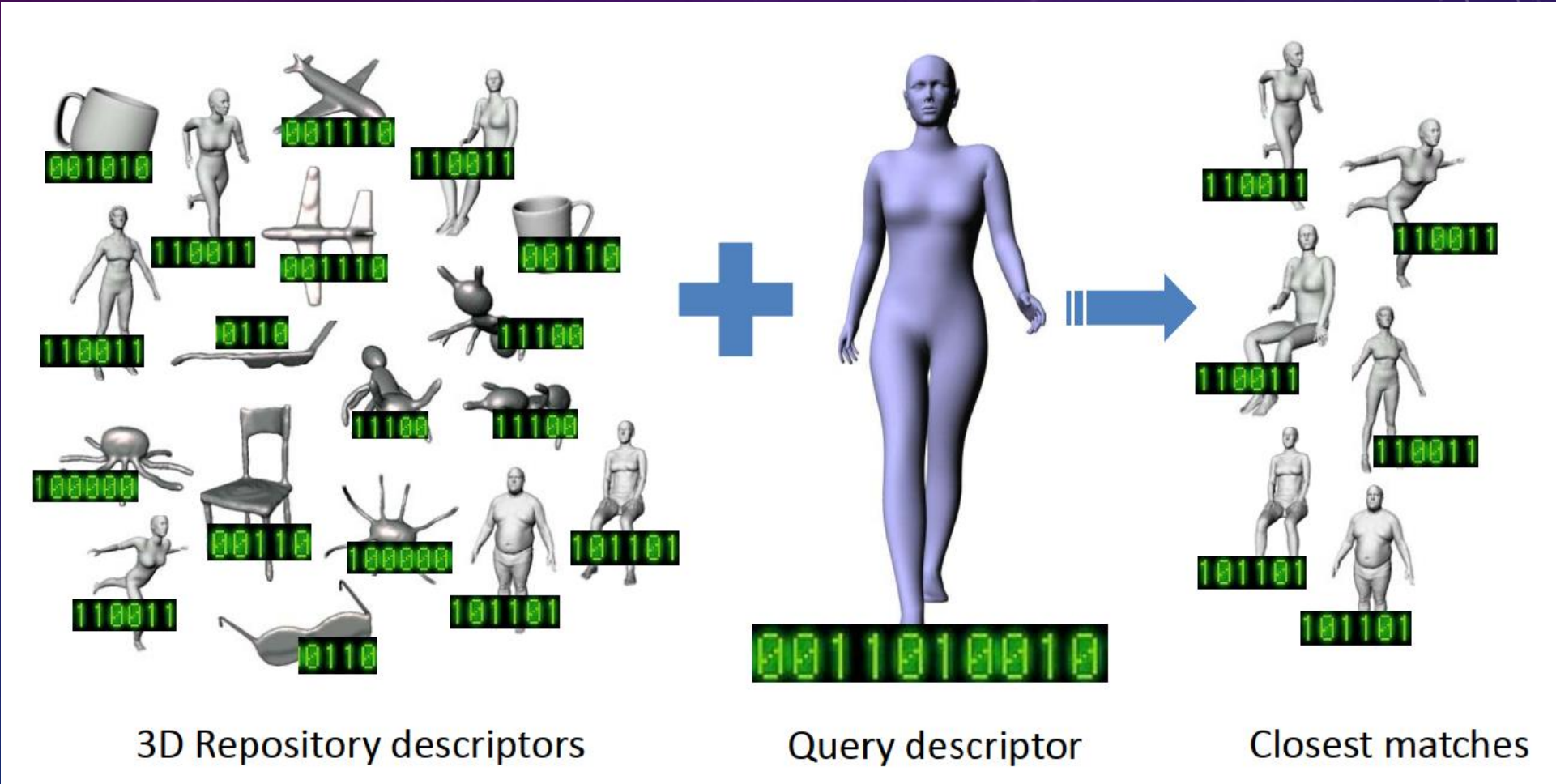
# Application

- Shape retrieval
- Functional maps
- Parameterization
- Simplification
- Applications in machine learning

# Shape Retrieval



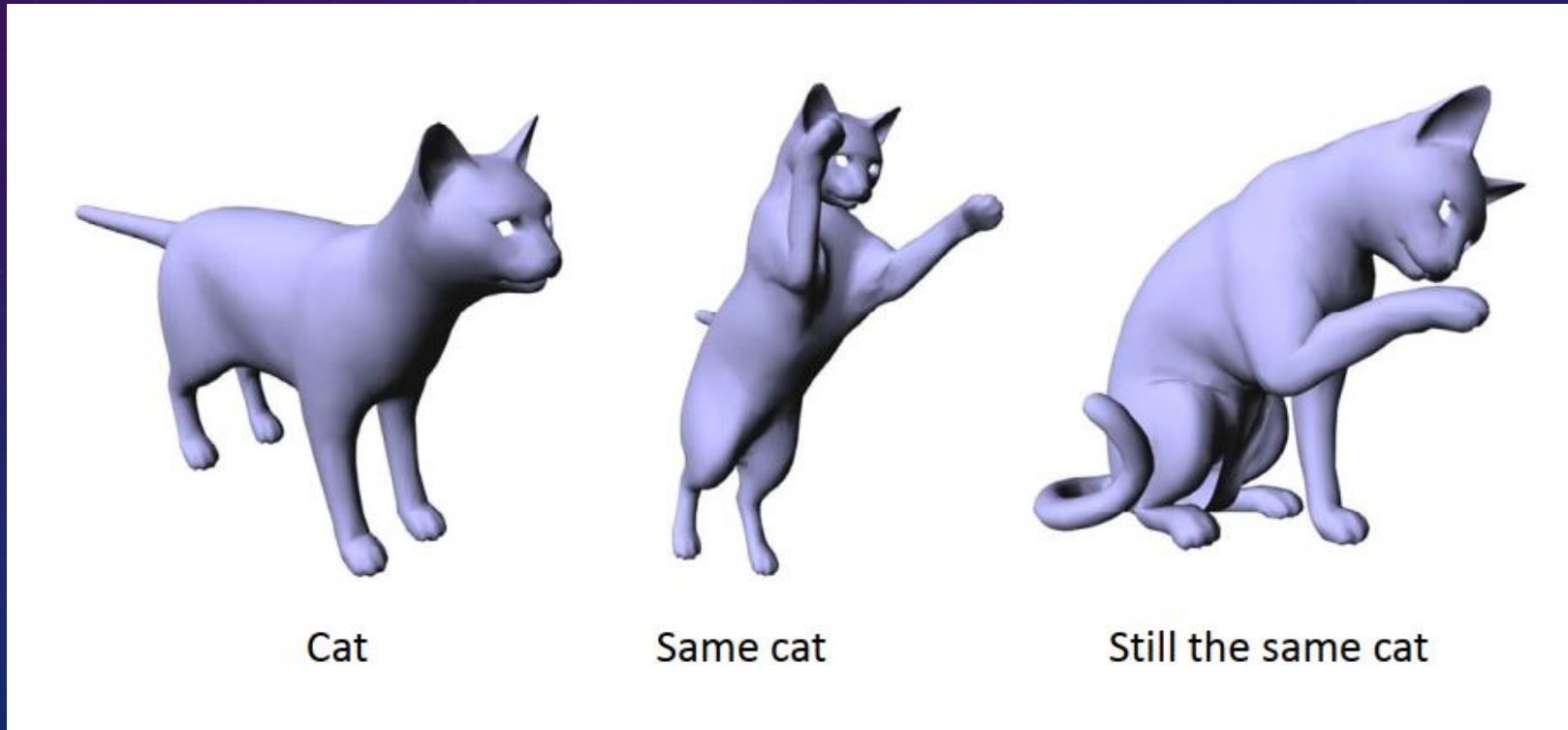
# Shape Retrieval





# Pose invariant shape descriptor

- “Similar” descriptors for shape in different poses



# Spectral shape descriptors

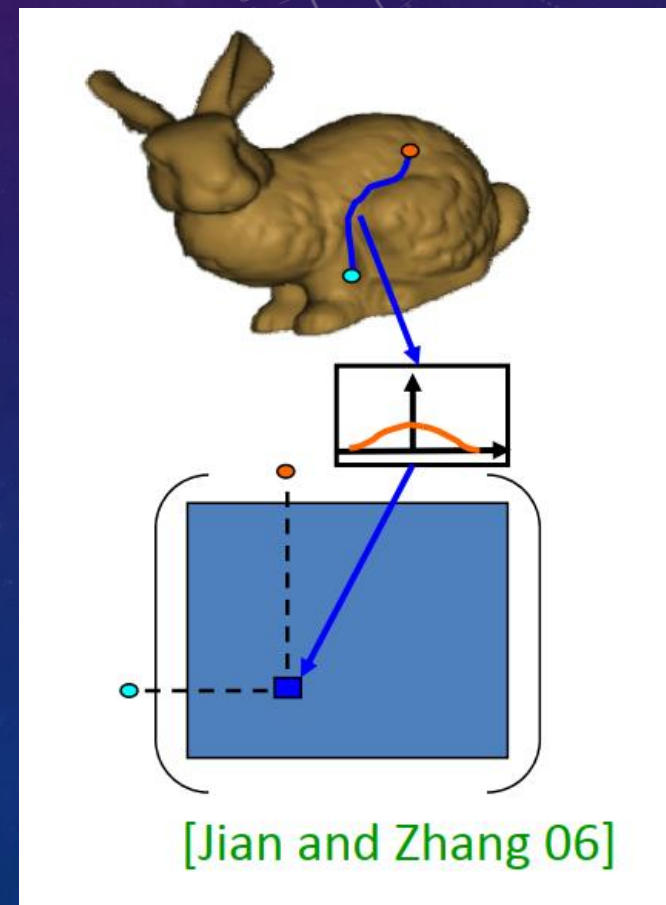
- Use pose invariant operators
  - Matrix of geodesic distances
  - Laplace-Beltrami operator
  - Heat/wave kernel
- Derive descriptors from eigen-structure
  - Eigenvalues
  - Distance based descriptors on spectral embedding
  - Heat/wave kernel signature

# Geodesic distances matrix

- Operator: Matrix of Gaussian-filtered pair-wise geodesic

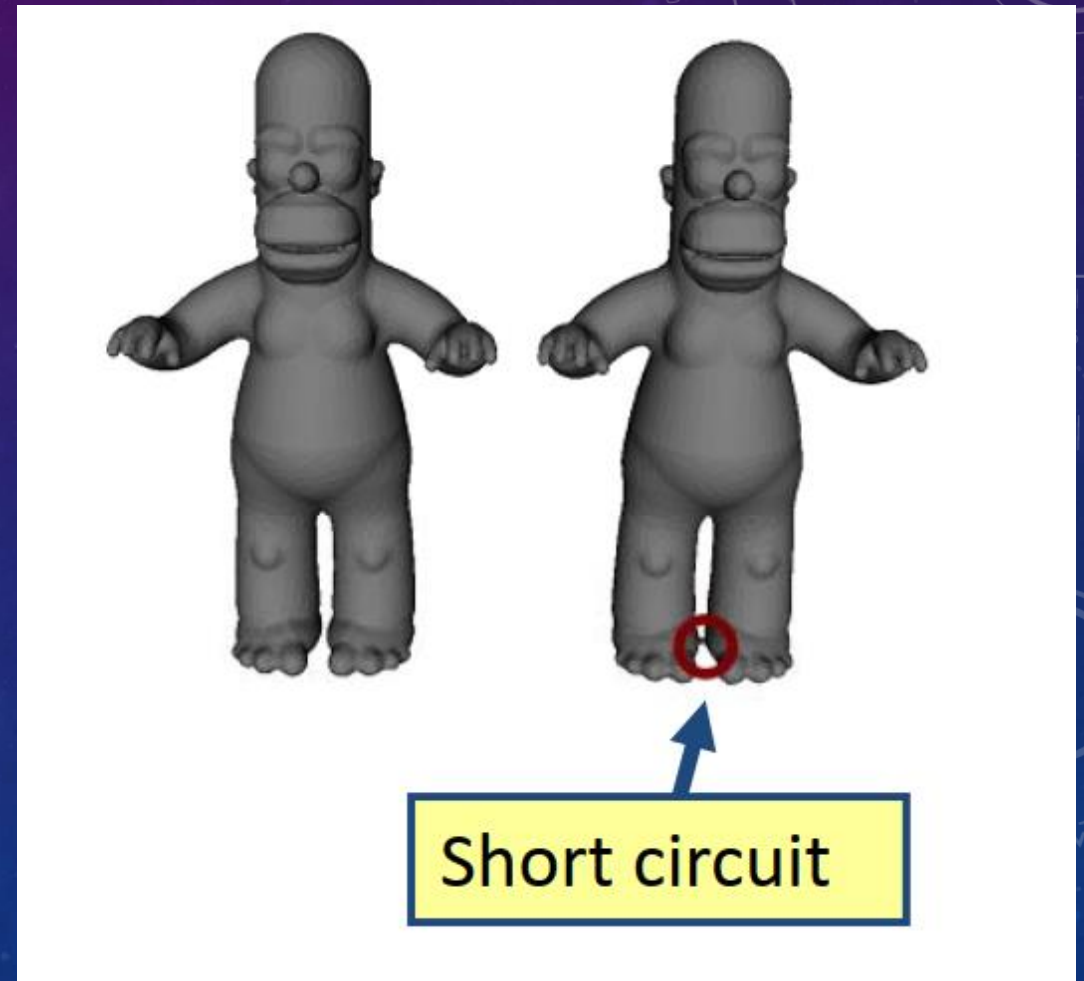
$$\text{distances } A_{ij} = \exp\left(-\frac{\text{dist}(p_i, p_j)^2}{2\sigma^2}\right)$$

- Only take  $k \ll n$  samples
- Descriptor: eigenvalues of matrix



# Limitations

- Geodesic distances sensitive to “shortcuts”  
small topological holes

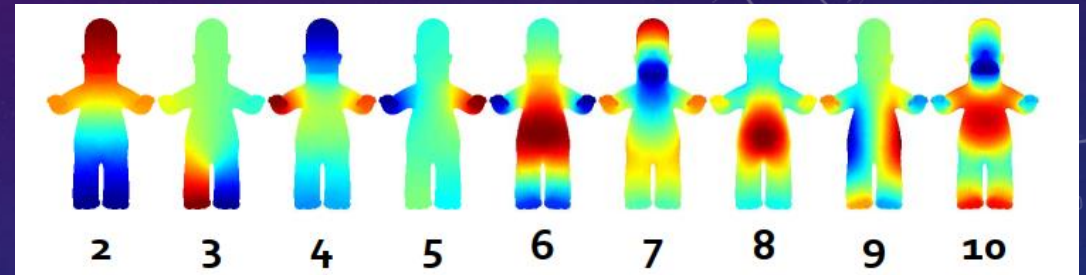


# Global point signatures [Rustamov 2007]

Given a point  $p$  on the surface, define

$$GPS(p) = \left( \frac{1}{\sqrt{\lambda_1}} \phi_1(p), \frac{1}{\sqrt{\lambda_2}} \phi_2(p), \dots \right)$$

- $\phi_i(p)$  value of the eigenfunction  $\phi_i$  at the point  $p$
- $\lambda_i$ 's are the Laplace-Beltrami eigenvalues



# Property

- If surface does not self-intersect, neither does the GPS embedding.

Proof: Laplacian eigenfunctions span  $L^2(\mathcal{M})$ ; if  $GPS(p) = GPS(q)$ , then all functions on the manifold  $\mathcal{M}$  would be equal at  $p$  and  $q$ .

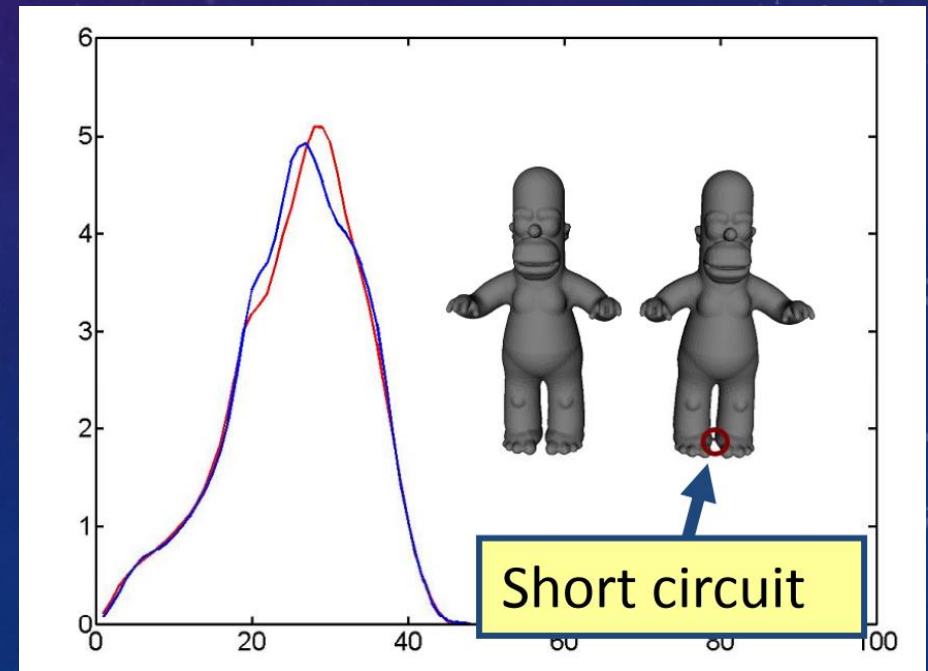
- GPS is isometry-invariant.

Proof: Comes from the Laplacian

$$\Delta f = \operatorname{div}(\nabla f) \implies \Delta f = \frac{1}{\sqrt{g}} \partial_i (\sqrt{|g|} g^{ij} \partial_j f)$$

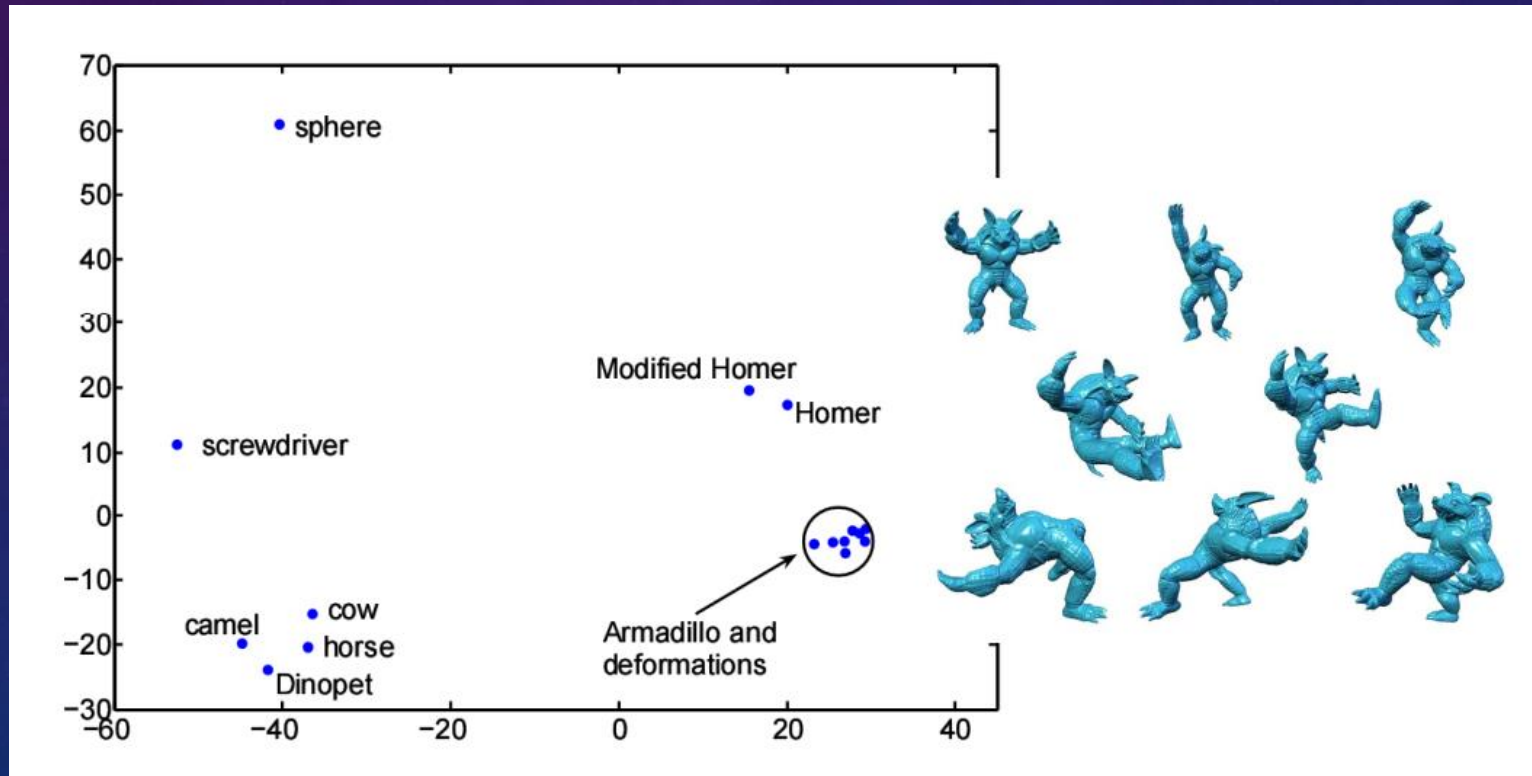
# GPS-based shape retrieval

- Use histogram of distances in the GPS embeddings
  - Invariance properties reflected in GPS embeddings
  - Less sensitive to topology changes by using only low-frequency eigenfunctions
  - Sign flips and eigenvector : switching are issues



# Multidimensional scaling on GPS

- Non-linear embedding into 2D that “almost” reproduces GPS distances





# Use for shape matching?

- Nope. Embedding sensitive to eigenvector “switching”



- Eigenvectors are not unique
- Only defined up to sign
- If repeating eigenvalues – any vector in subspace is eigenvector

# Heat equation on a manifold

Heat equation :  $\frac{\partial u}{\partial t} = -\Delta u \Rightarrow u(x, t) = \sum_{n=0}^{\infty} a^n \exp(-\lambda_n t) \phi_n(x)$

$$t = 0, u(x, 0) = \sum_{n=0}^{\infty} a^n \phi_n(x) \Rightarrow a^n = \langle u(\cdot, 0), \phi_n(\cdot) \rangle = \int u_0(y) \phi_n(y) dy$$

$$u(x, t) = \sum_{n=0}^{\infty} \int u_0(y) \phi_n(y) dy \exp(-\lambda_n t) \phi_n(x) = \int \underbrace{\sum_n \exp(-\lambda_n t) \phi_n(x) \phi_n(y)}_{k_t(x, y)} u_0(y) dy$$

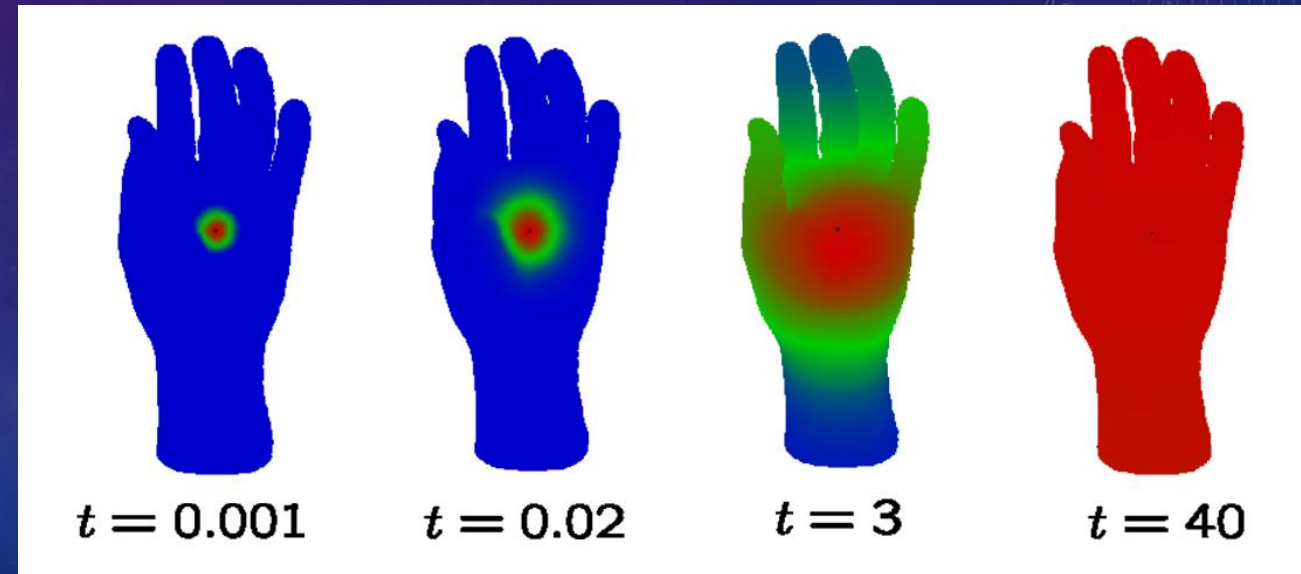
$$k_t(x, y)$$

# Heat equation on a manifold

- Heat kernel  $k_t(x, y): \mathbb{R}^+ \times \mathcal{M} \times \mathcal{M} \rightarrow \mathbb{R}$

$$u(x, t) = \int_{\mathcal{M}} k_t(x, y)u(y, 0)dy$$

$k_t(x, y)$  amount of heat transferred from  $y$  to  $x$  in time  $t$ .



# Heat equation on a manifold

- Heat kernel  $k_t(x, y) = \sum_n \exp(-t\lambda_n)\phi_n(x)\phi_n(y)$

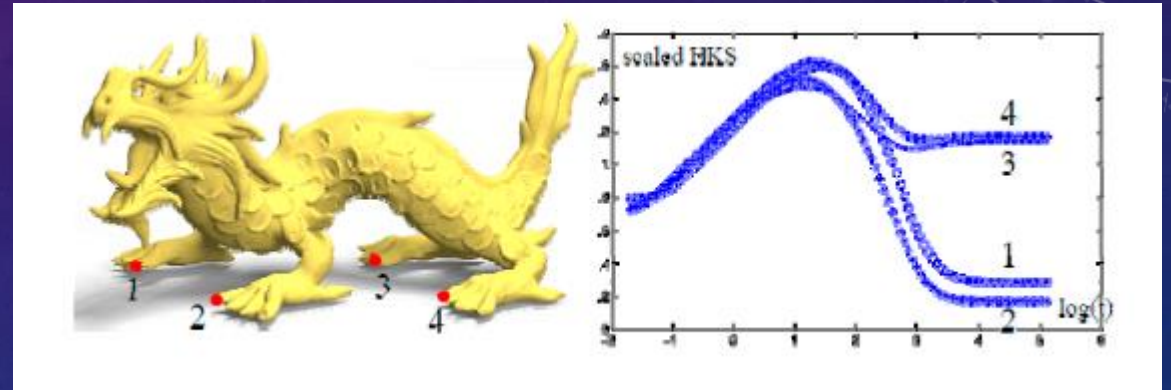
$k_t(x, y)$  = Prob. of reaching  $y$  from  $x$  after  $t$  random steps



$k_t(x, x)$  = Heat Kernel Signature  
[Sun et al. 09]

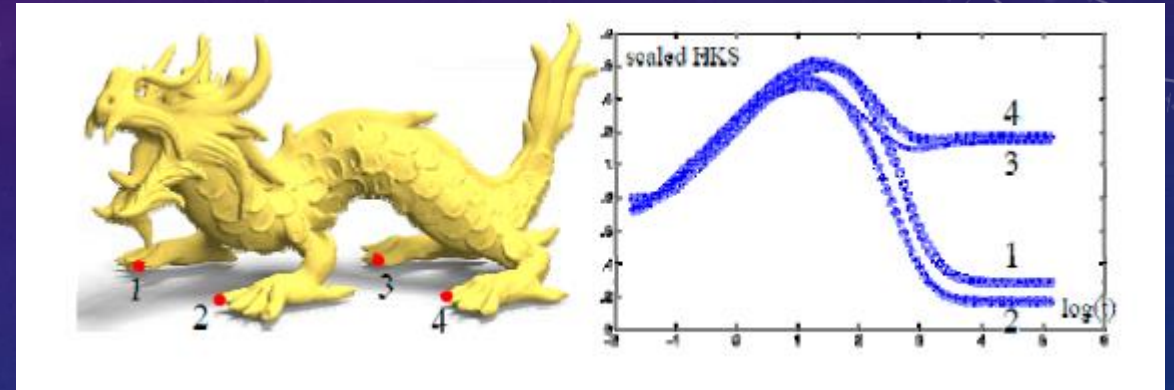
# Properties

- Good properties:
  - Isometry-invariant
  - Not subject to switching
  - Easy to compute
  - Multiscale, related to curvature at small scales



# Properties

- Good properties:
- Bad properties:
  - Issues remain with repeated eigenvalues
  - Theoretical guarantees require (near-)isometry



# Heat kernel applied

- Diffusion wavelets [Coifman and Maggioni 06]
- Segmentation [deGoes et al. 08]
- Heat kernel signature [Sun et al. 09]
- Heat kernel matching [Ovsjanikov et al. 10]



# Wave kernel signature

- The Wave Kernel Signature: A Quantum Mechanical Approach to Shape Analysis [Aubry, Schlickewei, and Cremers; ICCV Workshops 2012]

$$\text{WKS}(E, x) = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T |\psi_E(x, t)|^2 dt = \sum_{n=0}^{\infty} \phi_n(x)^2 f_E(\lambda_n)^2$$

**Initial energy  
distribution**

**Average probability over  
time that particle is at  $x$ .**



# Wave kernel signature

$$\text{WKS}(E, x) = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T |\psi_E(x, t)|^2 dt = \sum_{n=0}^{\infty} \phi_n(x)^2 f_E(\lambda_n)^2$$



HKS



WKS

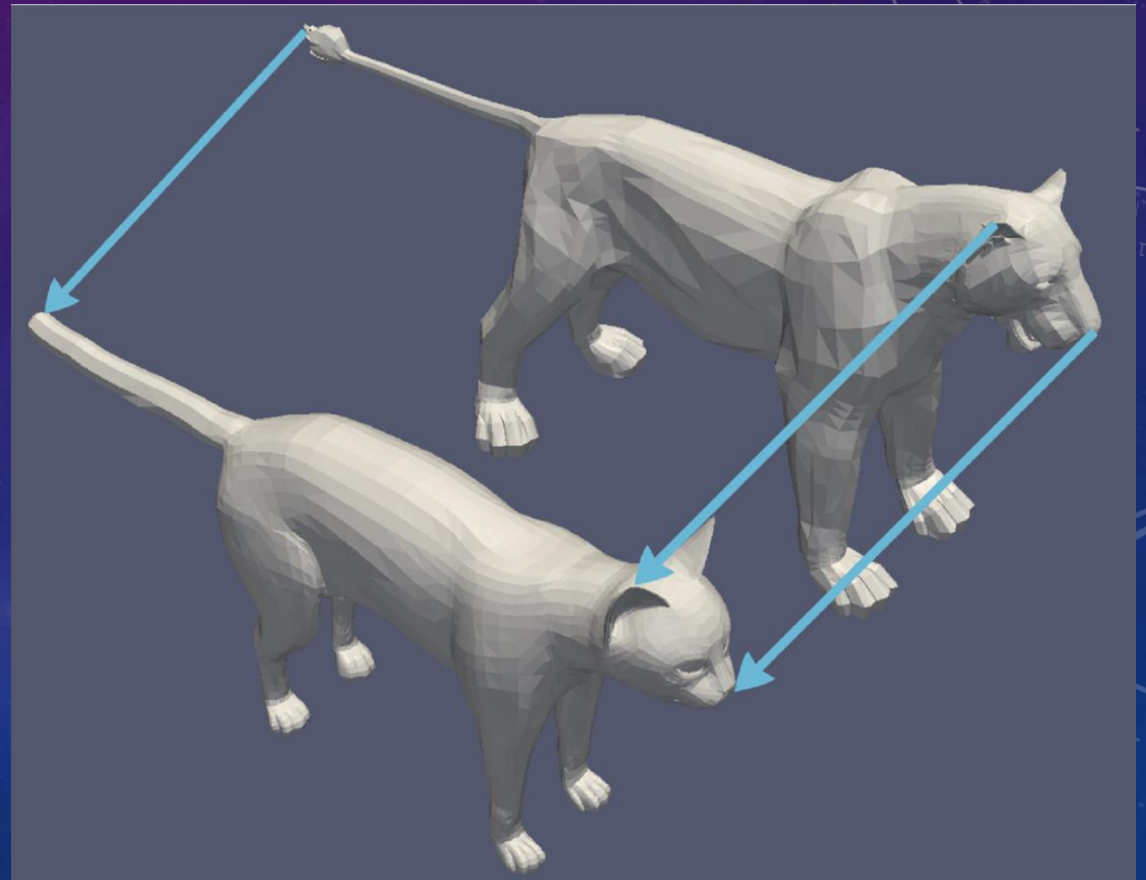
# Properties

- Good properties:
  - [Similar to HKS]
  - Stable under some non-isometric deformation
- Bad properties:
  - [Similar to HKS]
  - Can filter out large-scale features

# Functional maps

- Starting from a Regular Map

$$\phi: \textit{lion} \rightarrow \textit{cat}$$



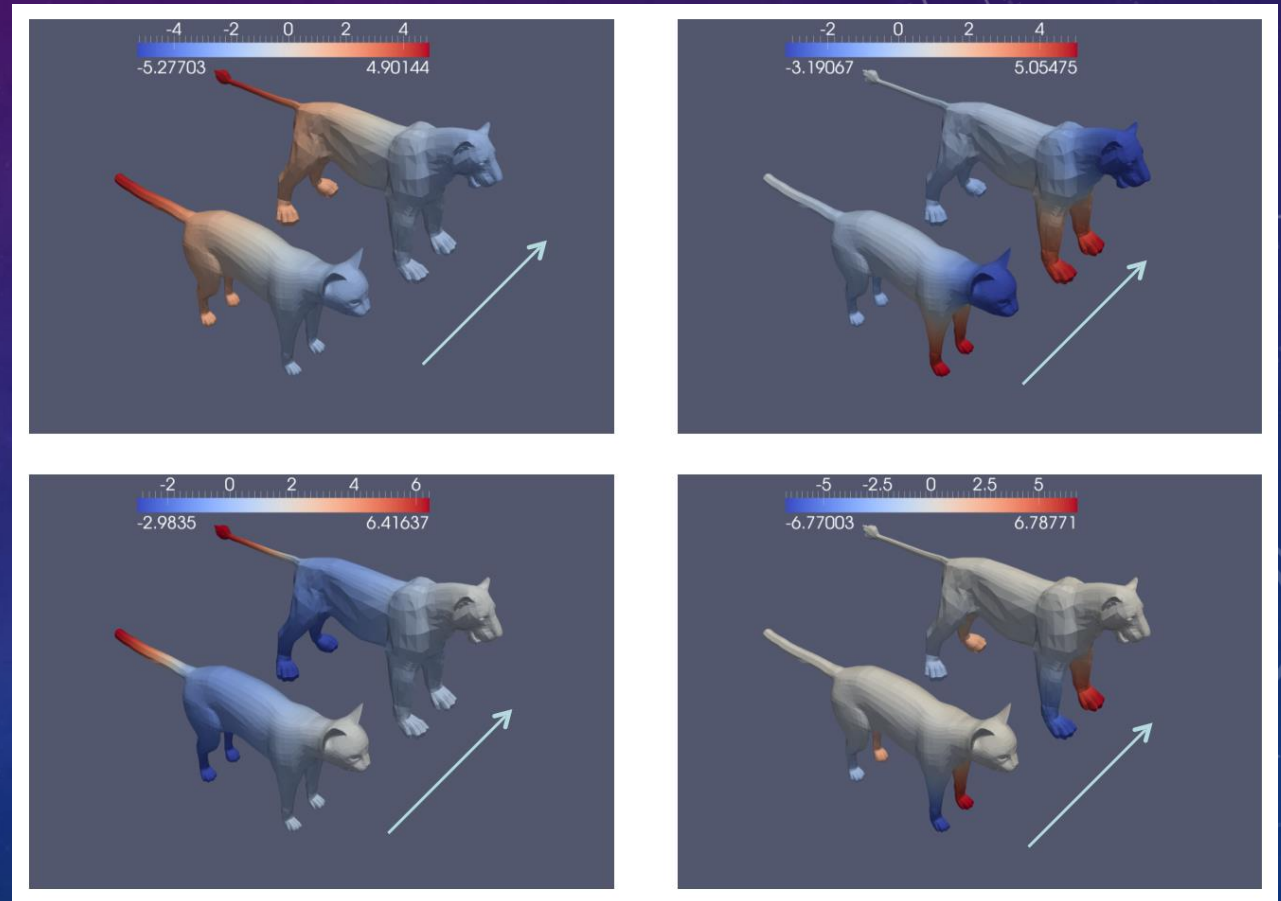
# Functional maps

- Starting from a Regular Map

$$\phi: \textit{lion} \rightarrow \textit{cat}$$

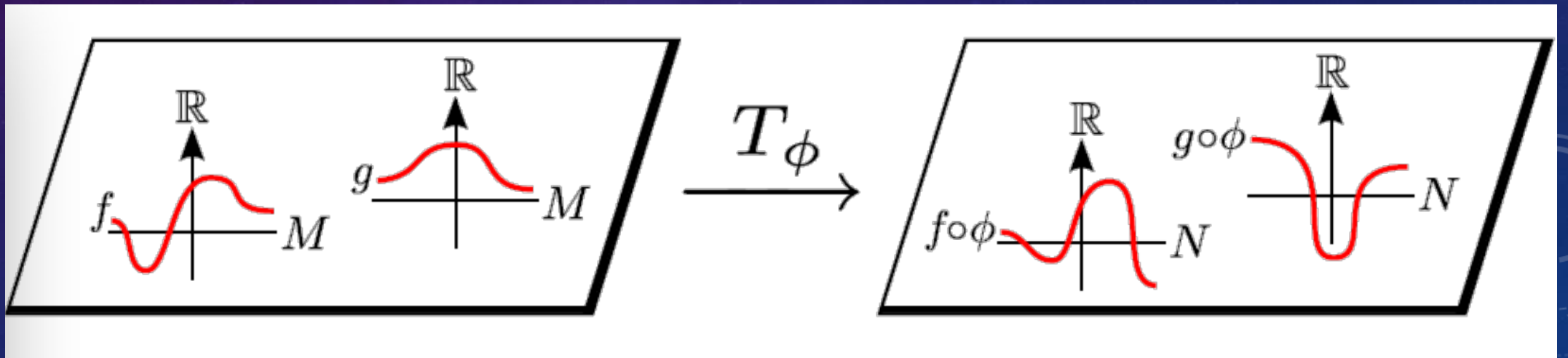
- Attribute Transfer via Pull-Back

$$T_\phi: \textit{cat} \rightarrow \textit{lion}$$



# Functional maps

- $T_\phi$  is a linear operator ( $T_\phi : L^2(\text{cat}) \rightarrow L^2(\text{lion})$ )



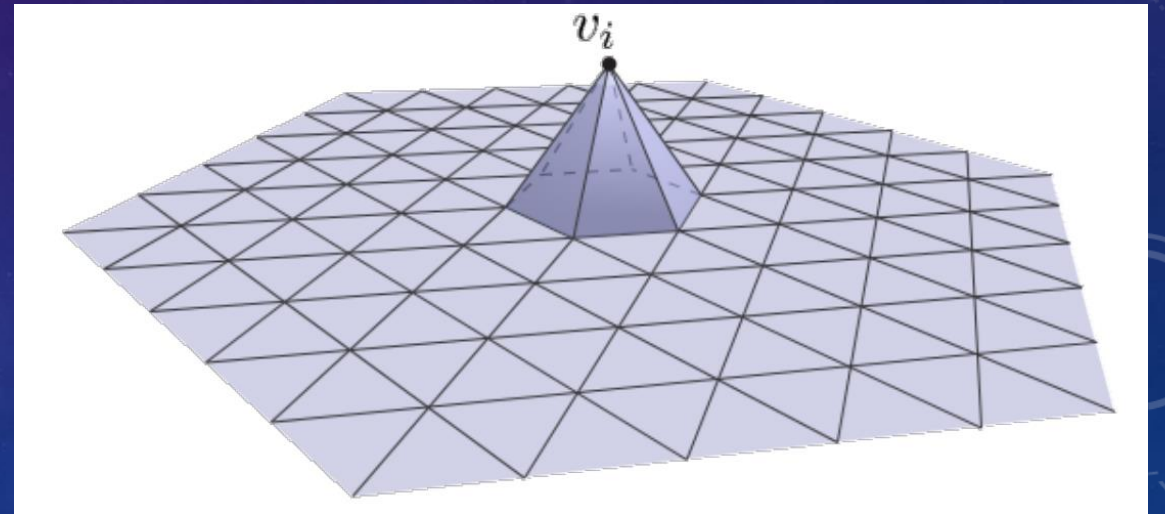
# Functional maps

- Dual of a point-to-point map

Identify function

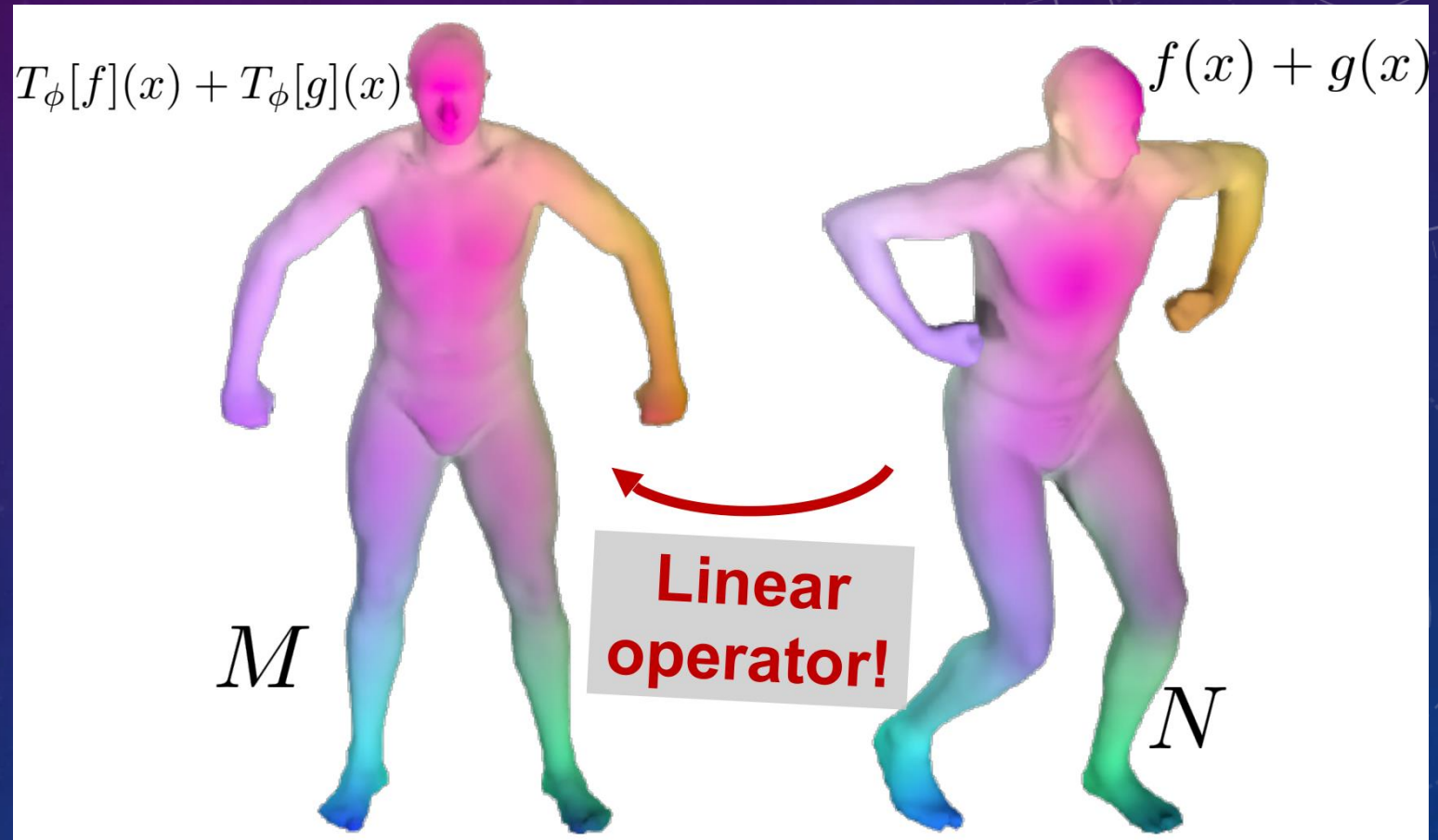
$$\delta_p \in L^2(\text{cat}) \rightarrow \delta_q \in L^2(\text{lion})$$

$$\Leftrightarrow q \in \text{lion} \rightarrow p \in \text{cat}$$

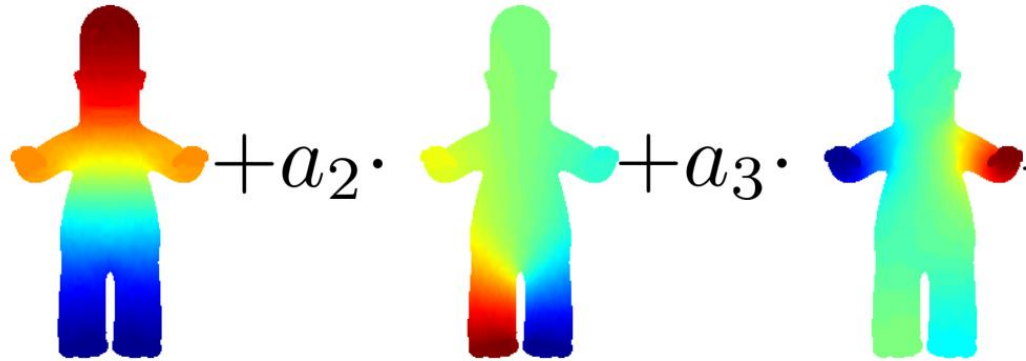


# Exploit linearity

- Bases of a function space

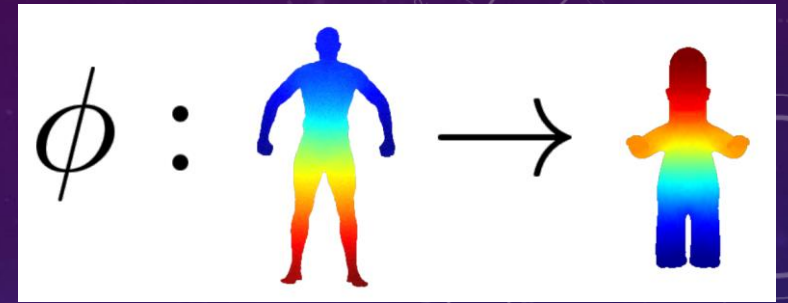


# Exploit linearity

$$f(x) = a_1 \cdot \text{[Person 1]} + a_2 \cdot \text{[Person 2]} + a_3 \cdot \text{[Person 3]} + \dots$$
The image shows three stylized human figures, each with a different rainbow color gradient. The first figure has a gradient from red at the top to blue at the bottom. The second figure has a gradient from green at the top to red at the bottom. The third figure has a gradient from cyan at the top to red at the bottom. These figures are positioned between the terms of a linear equation, representing basis functions.



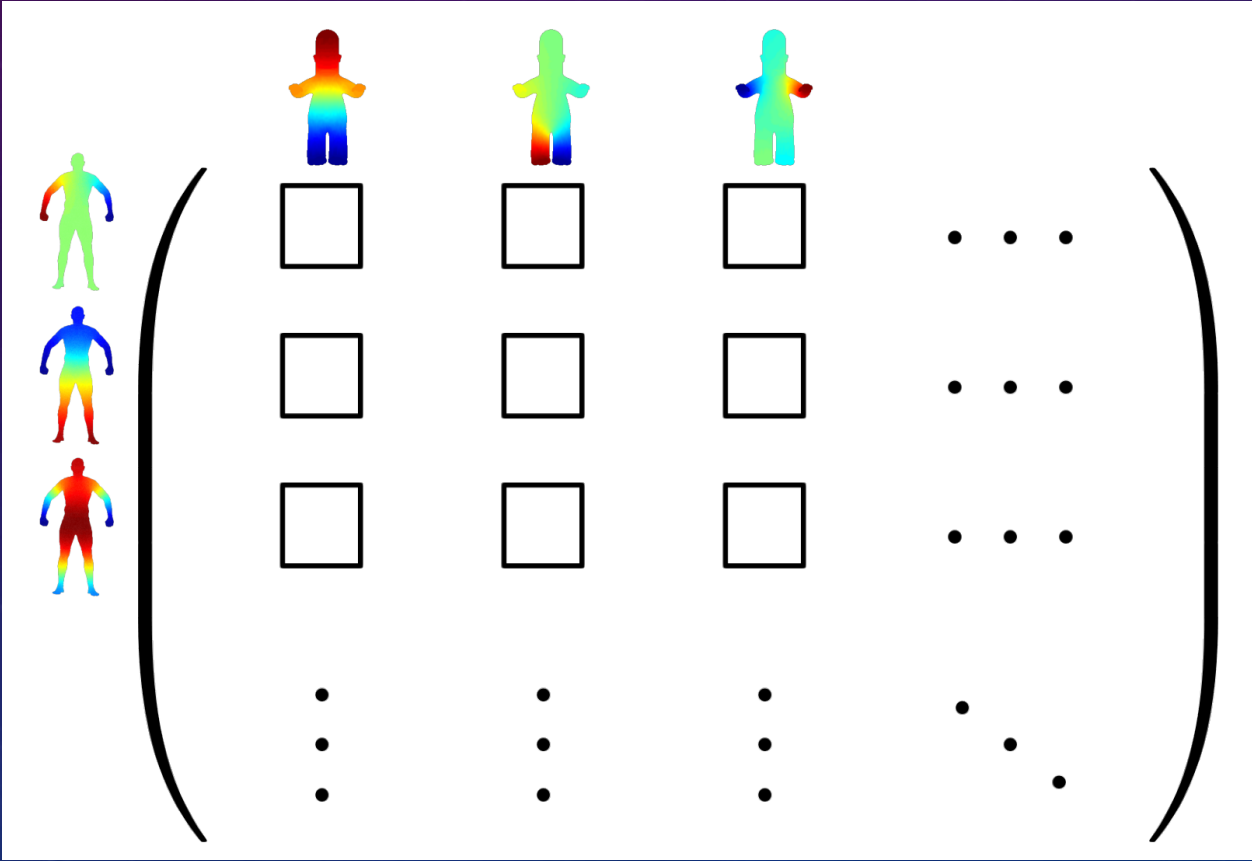
# Exploit linearity



$$T_\phi[f](x) = T_\phi[a_1 \cdot \text{short person} + a_2 \cdot \text{medium person} + a_3 \cdot \text{tall person} + \dots]$$
$$= a_1 T_\phi[\text{short person}] + a_2 T_\phi[\text{medium person}] + a_3 T_\phi[\text{tall person}] + \dots$$

The diagram shows the linearity of the transformation  $T_\phi$ . The first equation shows the transformation of a weighted sum of input silhouettes. The second equation shows the transformation of each silhouette individually, with brackets under each silhouette in the second equation indicating that the transformation is applied to each component of the sum.

# Functional map matrix



# Functional map representation

## Definition

For a fixed choice of basis functions  $\{\phi^M\}$  and  $\{\phi^N\}$ , and a bijection  $T : M \rightarrow N$ , define its **functional representation** as a matrix  $C$ , s.t. for all  $f = \sum_i a_i \phi_i^M$ , if  $T_F(f) = \sum_i b_i \phi_i^N$  then:

$$\mathbf{b} = C\mathbf{a}$$

If  $\{\phi^M\}$  and  $\{\phi^N\}$  are both orthonormal w.r.t. some inner product, then

$$C_{ij} = \langle T_F(\phi_i^M), \phi_j^N \rangle.$$

## Estimating the mapping matrix

Suppose we don't know  $C$ . However, we expect a pair of functions  $f : M \rightarrow \mathbb{R}$  and  $g : N \rightarrow \mathbb{R}$  to correspond. Then,  $C$  must be s.t.

$$C\mathbf{a} \approx \mathbf{b}$$

where  $f = \sum_i \mathbf{a}_i \phi_i^M$ ,  $g = \sum_i \mathbf{b}_i \phi_i^N$



Given enough  $\{\mathbf{a}_i, \mathbf{b}_i\}$  pairs in correspondence, we can recover  $C$  through a linear least squares system.

# Commutativity regularization

In addition, we can phrase an operator commutativity constraint: given two operators  $S_1 : \mathcal{F}(M, \mathbb{R}) \rightarrow \mathcal{F}(M, \mathbb{R})$  and  $S_2 : \mathcal{F}(N, \mathbb{R}) \rightarrow \mathcal{F}(N, \mathbb{R})$ .

$$\begin{array}{ccc} \mathcal{F}(M, \mathbb{R}) & \xrightarrow{C} & \mathcal{F}(N, \mathbb{R}) \\ S_1 \downarrow & & \downarrow S_2 \\ \mathcal{F}(M, \mathbb{R}) & \xrightarrow{C} & \mathcal{F}(N, \mathbb{R}) \end{array}$$

Thus:  $CS_1 = S_2C$  or  $\|CS_1 - S_2C\|$  should be minimized

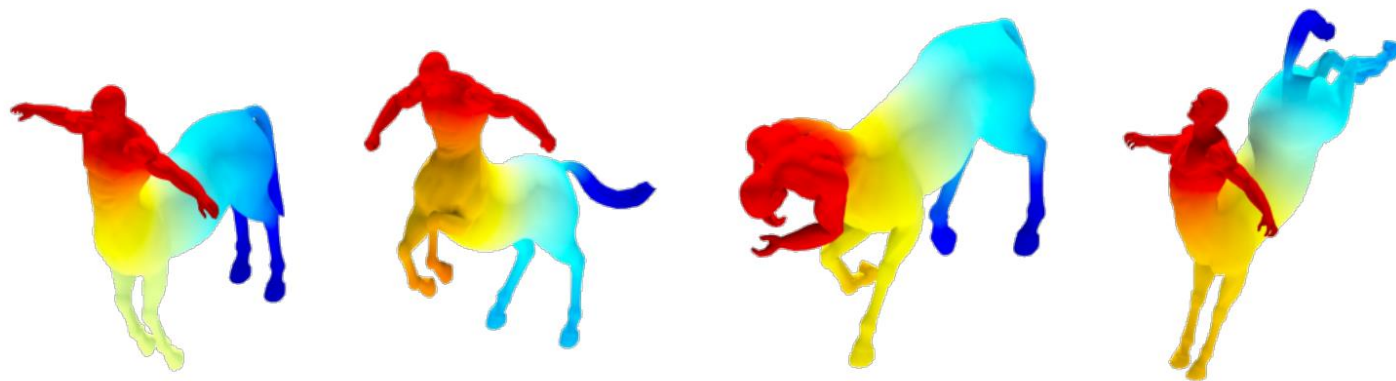
Note: this is a linear constraint on  $C$ .  $S_1$  and  $S_2$  could be symmetry operators or e.g. Laplace-Beltrami or Heat operators.

# Operator commutativity

$$C \Delta_1 \approx \Delta_2 C$$

Differentiate and then transport

Transport and then differentiate



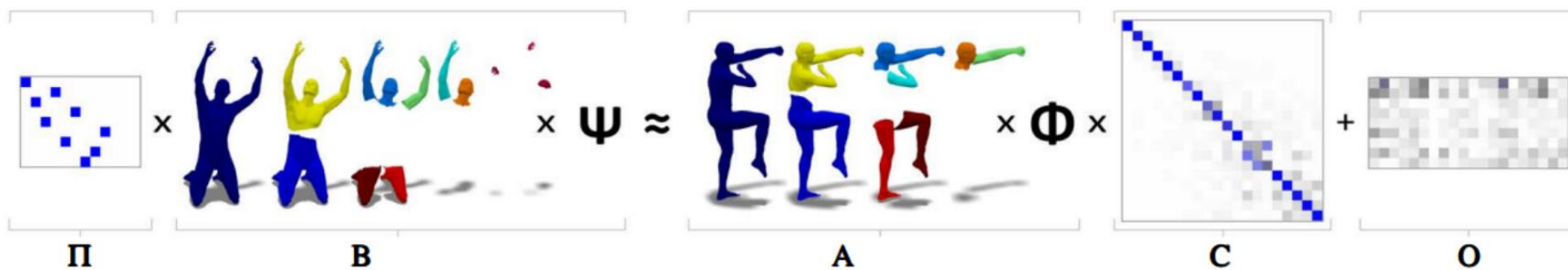
# Property

- Lemma 1 : the mapping is isometric, if and only if the functional map matrix commutes with the Laplacian:  $C\Delta_1 = \Delta_2 C$
- Lemma 2 : the mapping is locally volume preserving, if and only if the functional map matrix is orthonormal:  $C^T C = I$
- Lemma 3 : if the mapping is conformal if and only if:  $C^T \Delta_1 C = \Delta_2$

# Sparsity in a localized basis

$$\min \|C\|_{2,1}$$

Sum of Euclidean norms of cols



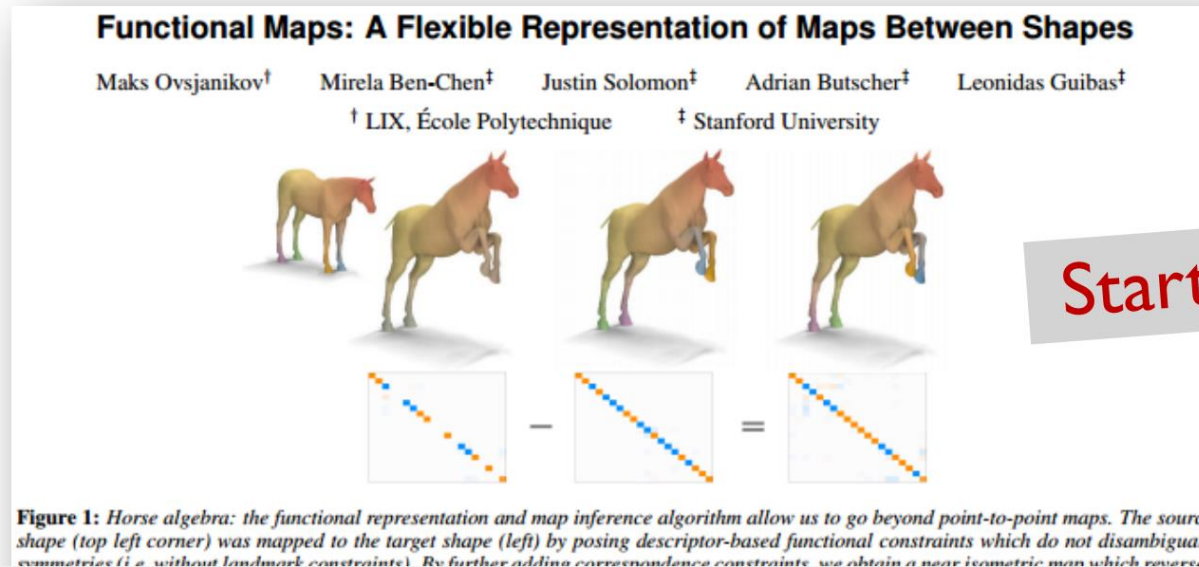
Sparse Modeling of Intrinsic Correspondences (Pokrass, Bronstein<sup>2</sup>, Sprechmann, Sapiro)



# General optimization for maps

$$\min_C \quad \|CD_1 - D_2\|_2^2$$
$$\quad [+ \alpha \|C\Delta_1 - \Delta_2 C\|_{\text{Fro}}^2]$$
$$\quad [+ \beta \|C\|_{2,1}]$$

such that  $[C^\top C = I]$



# From Functional to Point-to-Point Maps

- ◆ Can try transporting delta functions individually -- expensive

Primal

$$p \mapsto q$$



Dual

$$p \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \mapsto \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix} q$$

$$\delta_x = (\phi_1^M(x), \phi_2^M(x), \phi_3^M(x), \dots)$$

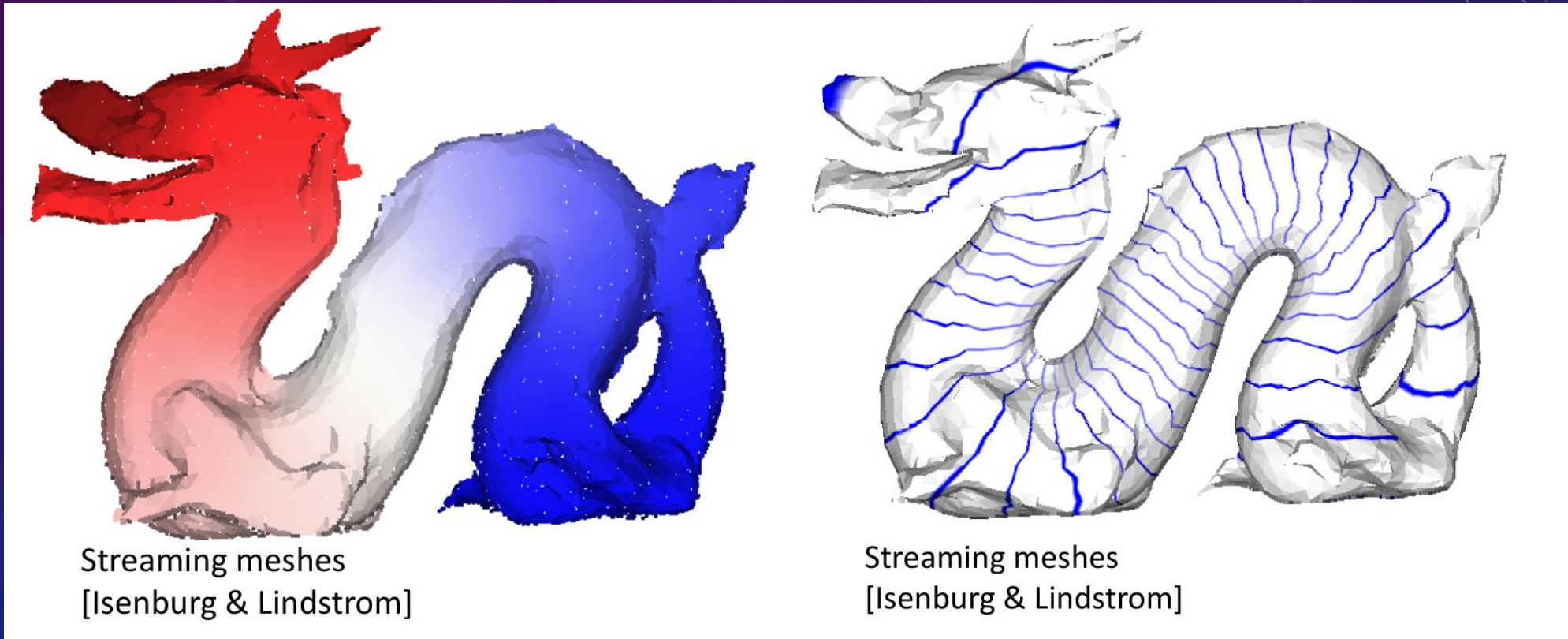
# Application: Segmentation Transfer



# Parameterization

- Laplacian matrix  $L_{i,i} = -\sum_{j \neq i} L_{i,j}$
- First eigenvalue  $\lambda_1 = 0$  and corresponding eigenvector  $(1, 1, \dots, 1)^T$
- The second eigenvector – field vector

# Field vector



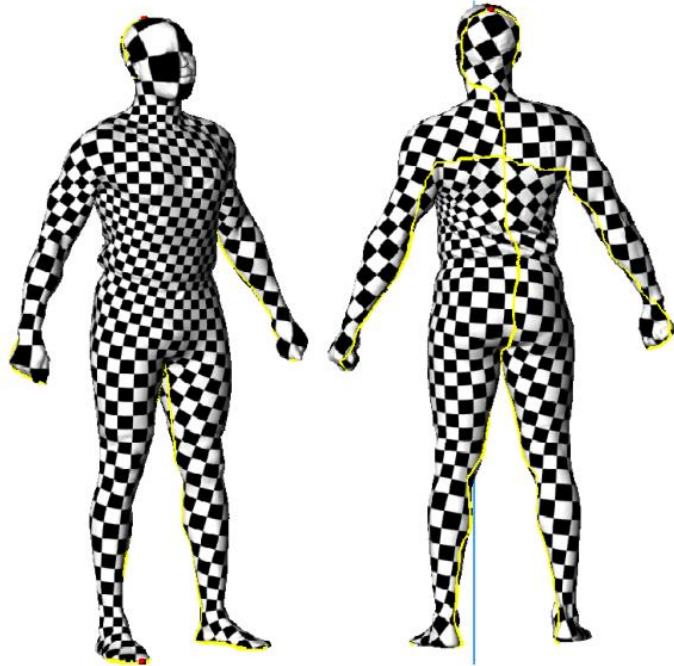
1D parameterization

# 2D conformal parameterization

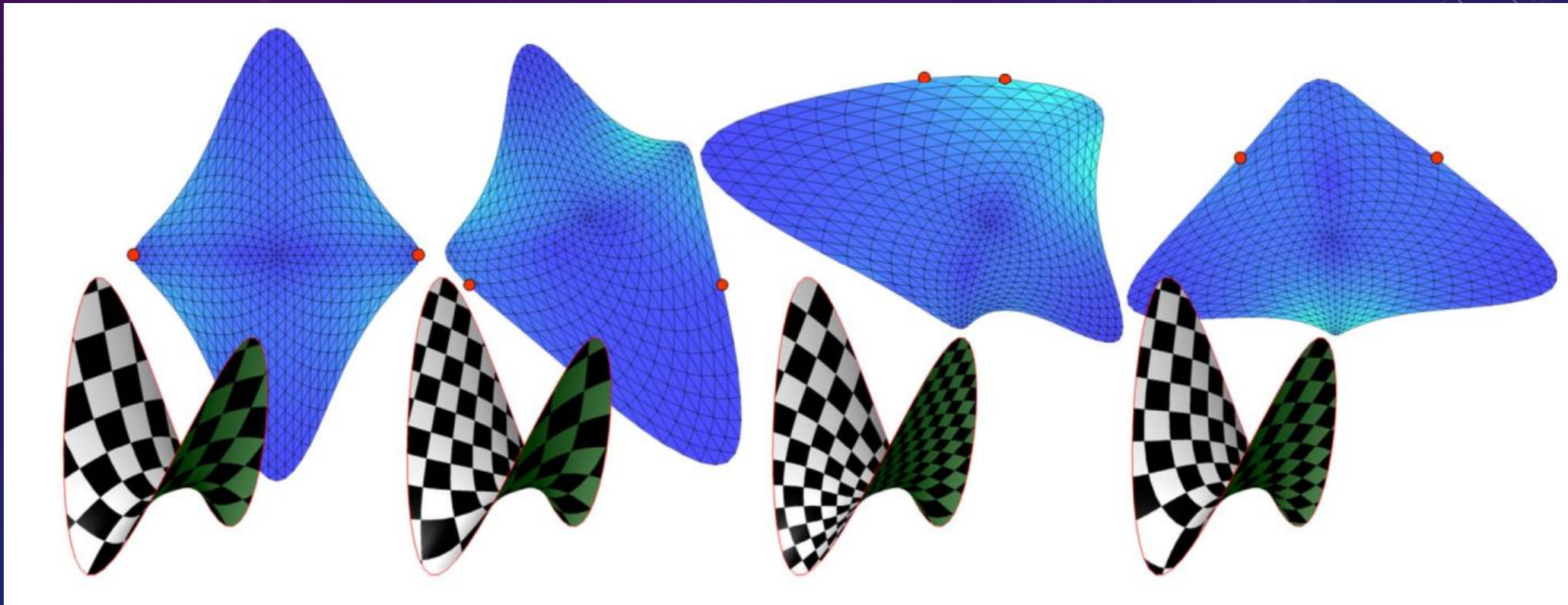
Minimize 
$$\sum_T \left\| \begin{bmatrix} \frac{\partial v}{\partial x} \\ \frac{\partial v}{\partial y} \end{bmatrix} - \begin{bmatrix} -\frac{\partial u}{\partial y} \\ \frac{\partial u}{\partial x} \end{bmatrix} \right\|^2$$

Discrete conformal mapping:

[L, Petitjean, Ray, Maillot 2002]  
[Desbrun, Alliez 2002]



Sensitive to pinned vertices



## Relationship of LSCM

$$E_{LSCM}(u, v) = \frac{1}{2} \int |i\nabla u - \nabla v|^2 dA = \frac{1}{2} \int \langle i\nabla u, i\nabla u \rangle + \langle \nabla v, \nabla v \rangle - 2\langle i\nabla u, \nabla v \rangle dA$$

$$= \frac{1}{2} \int \langle \nabla u, \nabla u \rangle + \langle \nabla v, \nabla v \rangle - 2\nabla u \times \nabla v dA = E_D(u, v) - E_A(u, v) = \frac{1}{2} x^T Lx - x^T Ax$$

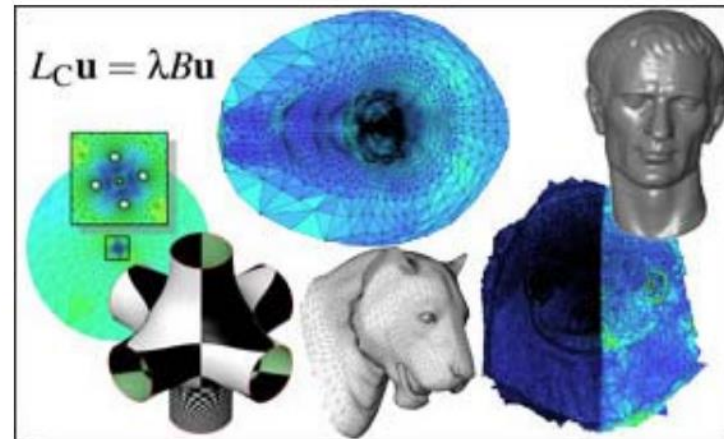
$$L_C = L - A$$



# Spectral conformal parameterization

[Muellen, Tong, Alliez, Desbrun 2008]

Use Fiedler vector,  
i.e. the minimizer of  $R(A,x) = x^t A x / x^t x$   
that is orthogonal to the trivial constant solution



Implementation:

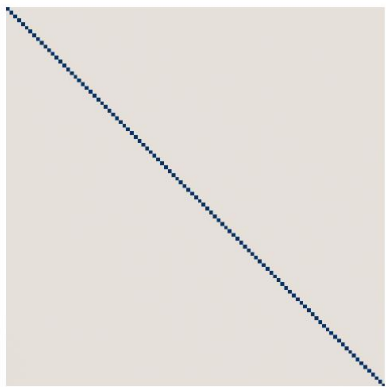
- (1) assemble the matrix of the discrete conformal parameterization
- (2) compute its eigenvector associated with the first non-zero eigenvalue

See <http://alice.loria.fr/WIKI/> Graphite tutorials – Manifold Harmonics

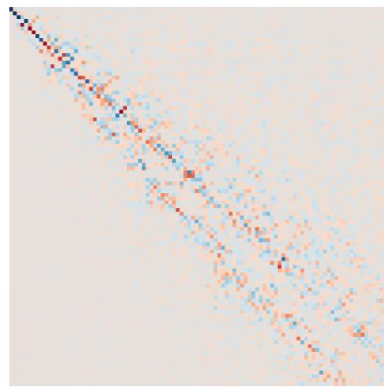
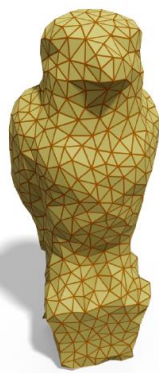
# Simplification

## ➤ Homework 6

Ground truth

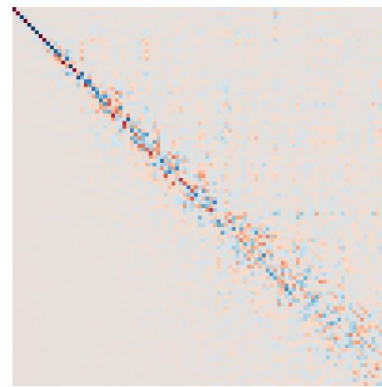


Uniform



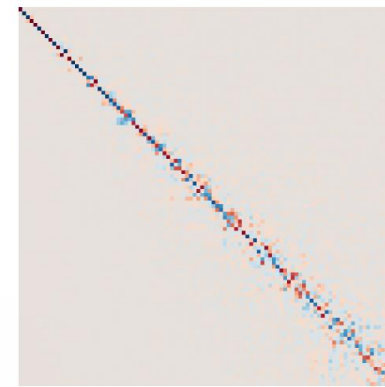
$$\begin{aligned} \|\cdot\|_{\mathcal{L}} &= 31.38 \times 10^3 \\ \|\cdot\|_{\mathcal{D}} &= 15.60 \times 10^0 \end{aligned}$$

Garland & Heckbert 1997



$$\begin{aligned} \|\cdot\|_{\mathcal{L}} &= 39.35 \times 10^3 \\ \|\cdot\|_{\mathcal{D}} &= 15.13 \times 10^0 \end{aligned}$$

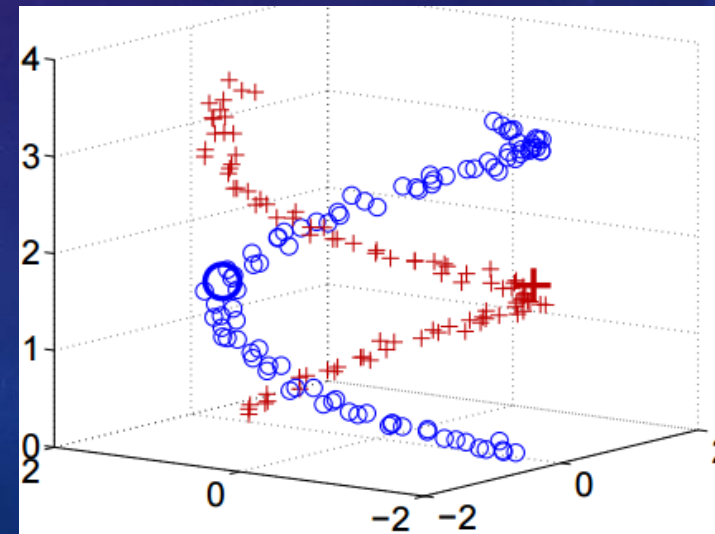
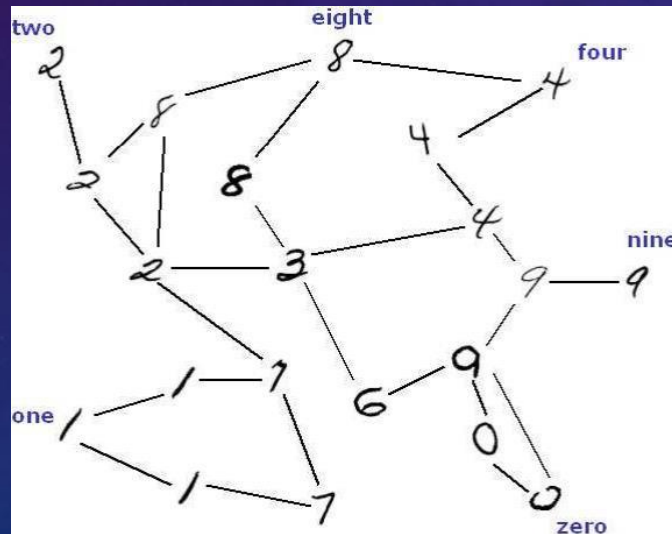
Ours



$$\begin{aligned} \|\cdot\|_{\mathcal{L}} &= 3.50 \times 10^3 \\ \|\cdot\|_{\mathcal{D}} &= 3.76 \times 10^0 \end{aligned}$$

# Applications in machine learning

- Semi-supervised learning using Gaussian fields and harmonic functions [Zhu, Ghahramani, & Lafferty 2003]



# Applications in machine learning

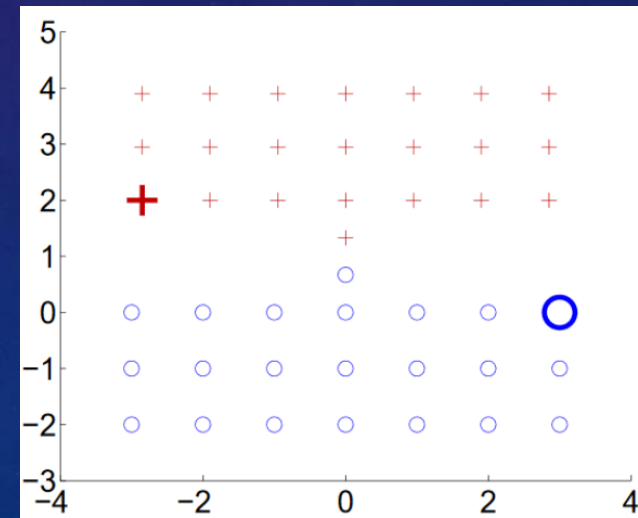
- Given  $m$  labeled points  $(x_1, y_1), \dots, (x_m, y_m); y_i \in \{0,1\}$

$n$  unlabeled points  $x_{m+1}, \dots, x_{m+n}, m \ll n$

$$\min \frac{1}{2} \sum_{ij} w_{ij} (f(i) - f(j))^2,$$

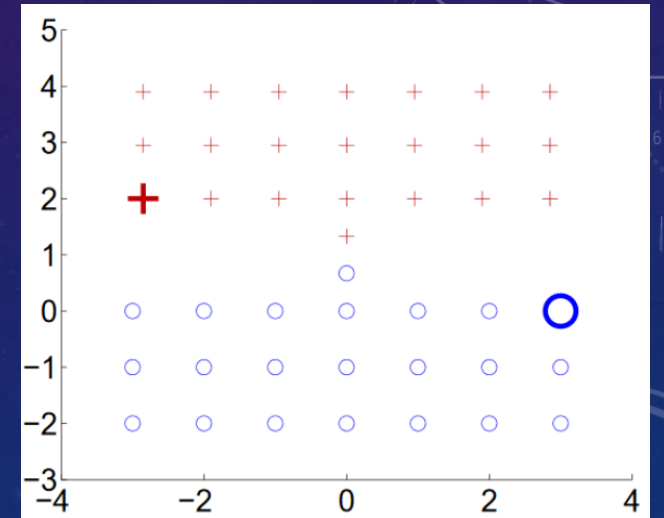
s. t.  $f(k)$  fixed,  $\forall k \leq m$

Dirichlet energy  $\rightarrow$  Linear system of equations (Poisson)



# Method

- Step 1 : Build  $k$ -NN graph
- Step 2 : Compute  $p$  smallest Laplacian eigenvectors
- Step 3 : Solve semi-supervised problem in subspace



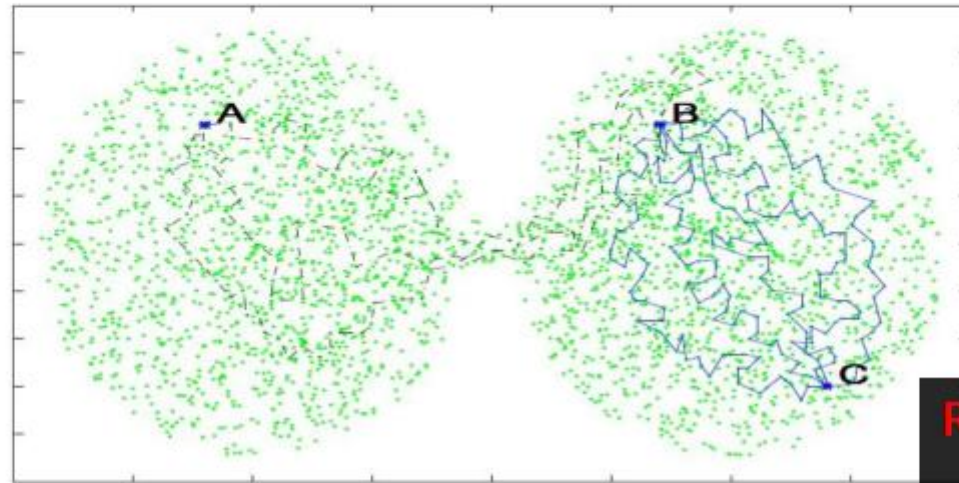
# Diffusion maps [Coifman and Lafon 2006]

Embedding from first  $k$  eigenvalues/vectors:

$$\Psi_t(x) := (\lambda_1^t \psi_1(x), \lambda_2^t \psi_2(x), \dots, \lambda_k^t \psi_k(x))$$

*Roughly:*

$|\Psi_t(x) - \Psi_t(y)|$  is probability that  $x, y$  diffuse to the same point in time  $t$ .



**Robust to sampling  
and noise**

# Graph convolutional networks

**Convolution theorem** for functions on  $\mathbb{R}^n$ :

$$f * g = \mathcal{F}^{-1}[F \cdot G]$$

$$x_{k+1,j} = h \left( V \sum_{i=1}^{f_{k-1}} F_{kij} V^\top x_{ki} \right)$$

$V$  contains eigenvectors of graph Laplacian

## Spectral Networks and Deep Locally Connected Networks on Graphs

**Joan Bruna**  
New York University  
bruna@cims.nyu.edu

**Wojciech Zaremba**  
New York University  
woj.zaremba@gmail.com

**Arthur Szlam**  
The City College of New York  
aszlam@ccny.cuny.edu

**Yann LeCun**  
New York University  
yann@cs.nyu.edu

### Abstract

Convolutional Neural Networks are extremely efficient architectures in image and audio recognition tasks, thanks to their ability to exploit the local translational invariance of signal classes over their domain. In this paper we consider possible generalizations of CNNs to signals defined on more general domains without the action of a translation group. In particular, we propose two constructions, one based upon a hierarchical clustering of the domain, and another based on the spectrum of the graph Laplacian. We show through experiments that for low-dimensional graphs it is possible to learn convolutional layers with a number of parameters independent of the input size, resulting in efficient deep architectures.